### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

# (19) World Intellectual Property Organization International Bureau



# | DELICA CHISCORI | DELICA CHISCORI | CHISCORI

(43) International Publication Date 8 July 2004 (08.07.2004)

**PCT** 

(10) International Publication Number WO 2004/056968 A3

- (51) International Patent Classification<sup>7</sup>: C12N 15/82
- A01H 5/00,
- (21) International Application Number:

PCT/US2003/040988

(22) International Filing Date:

18 December 2003 (18.12.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/434,601

18 December 2002 (18.12.2002)

- (71) Applicant (for all designated States except US): AGRI-NOMICS LLC [US/US]; 16160 S.W. Upper Boones Ferry Road, Portland, OR 97224-7744 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): LIGHTNER, Jonathan [US/US]; 28750 S. Heisinger Lane, Mulino, OR 97042 (US). COATE, Jeremy, E. [US/US]; 3202 S.E. 10the Avenue, Portland, OR 97202 (US). CLENDEN-NEN, Stephanie, K. [US/US]; P.O. Box 6332, Kingsport, TN 37663 (US). FEDERSPIEL, Nancy, Anne [US/US]; 1345 Altschul Avenue, Menlo Park, CA 94025 (US).
- (74) Agents: BRUNELLE, Jan, P. et al.; Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, CA 94083-0511 (US).

- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report:
  2 September 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/40988

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7): A01H 5/00; C12N 15/82  US CL: 800/281, 298; 435/419, 468  According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) U.S.: 800/281, 298; 435/419, 468		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) SEQUENCE DATABASES, WEST, DIALOG		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
X US 2002/0160378 A1 (HARPER et al.) 31 October 1553, see especially pages 26-32.	2002 (31.10.2002), see SEQ ID NO:	
Y	1-8	
Y US 2002/0078475 A1 (LI et al.) 20 June 2002 (20.	06.2002), see especially pages 11-18.	
Further documents are listed in the continuation of Box C.	See patent family annex.	
Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the	
"A" document defining the general state of the art which is not considered to be of particular relevance	principle or theory underlying the invention	
"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"  document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination	
"O" document referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in the art	
"P" document published prior to the international filing date but later than the "&" document member of the same patent family priority date claimed		
Date of the actual completion of the international search	Date of mailing of the international search report	
28 June 2004 (28.06.2004)  Name and mailing address of the ISA/US	Authorized officer / Authorized officer	
Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	Elizabeth F. McElwain  Yelephone No. 571-272-1600	





PCT/US03/40988

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)	
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	
Claim Nos.: because they relate to subject matter not required to be searched by this Authority, namely:	
Claim Nos.:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:	
<ol> <li>Claim Nos.:         because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule         6.4(a).</li> </ol>	
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)	
This International Searching Authority found multiple inventions in this international application, as follows: Please See Continuation Sheet	
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:	
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-8  Remark on Protest	

Form PCT/ISA/210 (continuation of first sheet(1)) (July 1998)



PCT/US03/40988

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s) 1-8, drawn to a transgenic plant transformed with DNA encoding SEQ ID NO: 2, and a method of producing a high oil phenotype in said plant.

Group II, claim(s) 9-11, drawn to a method of generating a plant having a high oil phenotype by identifying a plant with an allele of citrate synthase that results in increased oil content.

The inventions listed as Groups I and II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Group I requires an isolated DNA encoding the amino acid sequence of SEQ ID NO: 2, which is not required by Group II. In addition, the methods of Group I and II require different method steps and result in different products and are of different scope with regard to alleles of citrate lyase, wherein Group II is not limited to a plant having in it's genome DNA that encodes an amino acid sequence of SEQ ID NO: 2.

Form PCT/ISA/210 (second sheet) (July 1998)